

## NON-REPETITIVE WORDS: AGES AND ESSENCES

JAMES D. CURRIE<sup>1</sup>*Received March 7, 1990**Revised January 11, 1995*

This paper introduces the notions of age and essence of an infinite word  $w$ . Using these notions, the author studies the set  $L$  of infinite non-repetitive words over  $\{1, 2, 3\}$ , and its proper subsets  $L_{121}$ ,  $L_{121,323}$ ,  $L_{121,212}$ , where words of  $L_{121}$  ( $L_{121,323}$ ;  $L_{121,212}$ ) do not contain 121 (121, 323; 121, 212) as subwords. Motivated by the question ‘How many essentially different non-repetitive words over  $\{1, 2, 3\}$  exist?’ the author counts the equivalence classes of  $L$ ,  $L_{121}$ ,  $L_{121,323}$ ,  $L_{121,212}$  under agreement in a final segment, agreement in age, and in essence.

## 1. Introduction

A word  $w$  is *non-repetitive* if no two adjacent blocks in  $w$  are identical. For example, the word ‘orange’ is non-repetitive. On the other hand, the word ‘banana’ is repetitive, since ‘an’ occurs next to itself in ‘banana’. Infinite non-repetitive words over  $S = \{1, 2, 3\}$  have been used in mathematics to construct various pathological objects. Notably, non-repetitive words figure in Adjan and Novikov’s solution of the Burnside problem for groups [24]. Other applications of non-repetitive words have been to logic [6], to ordered sets [30] and to symbolic dynamics [23].

The existence of infinite non-repetitive words over finite alphabets has been known since the turn of the century [29]. More recently, questions concerning the existence of infinite words avoiding other patterns have arisen [3, 14]. A non-repetitive word  $w$  is said to *avoid*  $p$  if there is no non-erasing substitution  $h$  such that  $w = uh(p)v$ . If an  $\omega$ -word over  $\Sigma$  avoids  $p$ , we say that  $p$  is *avoidable* on  $\Sigma$ . The problem of finding an algorithm to determine when a given  $p$  is avoidable on a given  $\Sigma$  remains open [3, 2] and is an active area of research [7, 26].

At least two distinct approaches to showing the existence of infinite words avoiding patterns over finite alphabets have been taken. The standard way to show the existence of an infinite word avoiding some pattern has been to construct such a word by the iteration of a suitably chosen substitution. For example, in [1], the

---

Mathematics Subject Classification (1991): 68 Q, 03 C

<sup>1</sup> This work was supported by an NSERC operating grant.

substitution used is  $f_0: S^* \rightarrow S^*$ , given by

$$\begin{aligned} f_0(1) &= 123, \\ f_0(2) &= 13, \\ f_0(3) &= 2. \end{aligned}$$

For all  $n \in \mathbb{N}$ ,  $f_0^n(1)$  is a non-repetitive word; moreover, since the first symbol in  $f_0(1)$  is a 1,  $f_0^n(1)$  is always a prefix of  $f_0^{n+1}(1)$ . It thus makes sense to define an infinite word  $f_0^\omega(1)$  having  $f_0^n(1)$  as an initial segment for each  $n$ . The word  $f_0^\omega(1)$  is an infinite non-repetitive word.

A second approach to showing the existence of infinite non-repetitive words over  $\{1, 2, 3\}$  is implicit in the work of Shelton and Soni [28] and has been generalized in [11]. In this approach, one studies the branching of the tree of finite words over alphabet  $\Sigma$  avoiding pattern  $p$ . If this tree branches relatively frequently, then one can give a non-constructive proof of the existence of infinite words over  $\Sigma$  avoiding  $p$ .

It may be that by combining these two approaches a general algorithm for determining when  $p$  is avoidable over  $\Sigma$  can be found. In the case where it is ‘easy’ to avoid  $p$ , so that the tree of finite words over  $\Sigma$  avoiding  $p$  branches frequently, the approach of Shelton applies. On the other hand, in the case where the tree of finite words avoiding  $p$  branches infrequently, experience shows that substitutions are easy to find. For example, in writing [8] the author found that by imposing additional conditions on non-repetitive words one produces extremal cases where  $\omega$ -words can *only* be produced by iterating specific substitutions. In this paper we investigate this situation, trying to pin down the nature of these extremal cases.

Let  $L$  be the set of non-repetitive words of type  $\omega$  over  $S$ . What is the size of  $L$ ? Clearly if  $w = uv$  is a non-repetitive word of type  $\omega$  over  $S$ , then so is  $v$  whenever  $u$  is a finite prefix of  $w$ . Discarding the first letter of  $w$ , then the second, etc., gives a countable infinity of non-repetitive words; these words are all different because  $w$  is non-repetitive. In some sense however, these words are all the same. They all have a final segment in common.

In [3] it is shown that there are uncountably many non-repetitive words of type  $\omega$  over  $S$ , ‘no two of which have a final segment in common.’ Here we may omit the last clause, and simply say that there are uncountably many non-repetitive words of type  $\omega$  over  $S$ , since only countably many words of type  $\omega$  can have any given final segment. In [28] it is shown that if  $u$  is a prefix of an infinite non-repetitive word over  $S$ , then  $u$  is a prefix of uncountably many non-repetitive words over  $S$ .

We see then that fixing the prefix of a non-repetitive word of type  $\omega$  does not significantly restrict the choice of word. Let us consider substitution  $f_0$  above. A study of  $f_0$  shows that for  $v \in S^*$ ,  $f_0(v)$  only contains 23 in the context 123 =  $f_0(1)$ , and  $f_0(v)$  only contains 32 in the context 321. Thus if  $v \in S^*$ ,  $f_0(v)$  does not contain 121 or 323. If  $\{a_1, a_2, \dots, a_k\}$  is a set of words, denote by  $L_{a_1, a_2, \dots, a_k}$  the sublanguage of  $L$  consisting of words not containing any of  $a_1, a_2, \dots, a_k$  as a subword. Thus  $f_0^\omega(1) \in L_{121, 323}$ . We show later that if  $v \in L_{121, 323}$ , then a final segment of  $v$  is of the form  $f_0(u)$ , where  $u \in L_{121, 323}$ . By induction, for any  $n \in \mathbb{N}$ ,

$v$  has a final segment of the form  $f_0^n(u)$  for some  $u \in L_{121,323}$ . We see that in some sense there is ‘only one’ word in  $L_{121,323}$ , i.e.  $f_0^\omega(1)$ . Thus restricting subwords of non-repetitive words can produce extremal cases.

In this paper we explore in what sense  $L_{121,323}$  is ‘rigid’, so that in considering  $L_{121,323}$  we are forced to find  $f_0$ . Although  $L_{121,323}$  contains uncountably many words, in some sense there is ‘only one word’ in  $L_{121,323}$ ; there is only one word in *essence*, where the essence of a word  $v$  is the set of those finite subwords of  $v$  appearing in every final segment of  $v$ . We also show that the more obvious equivalence relation between infinite words, that of containing the same finite subwords, is too weak to characterize  $L_{121,323}$ .

**Remark 1.1.** Our desire is to illustrate a general phenomenon connected with words avoiding patterns, noticed during the writing of [8]. However, it turns out that our example  $L_{121,323}$  is related to a much-studied particular case in combinatorics on words, that of irreducible words on 2 symbols. A word  $w$  is *irreducible* (or *overlap-free*) if we cannot write  $w = aBBbc$  where  $b$  is the first letter of  $B$ . Irreducible words have been well-studied, and are characterized in [16, 27].

Irreducible words have been used in the study of dynamical systems. In such a setting, it is most natural to study two-way infinite sequences. Indeed, Thue spent most of his important 1912 paper considering two-way infinite sequences. While Thue also looks at one-way infinite sequences (our words of type  $\omega$ ), one must be careful in attempting to translate his results to words of type  $\omega$ . For example, the following exercise, based on Thue’s work, appears in [21, page 38]:

‘With the notations of Theorem 2.3.1:  $\mathbf{b}$  is a square-free word such that neither  $aba$  nor  $acba$  is a factor of  $\mathbf{b}$ , if and only if  $\delta(\mathbf{b})$  has no overlapping factor. (See Thue 1912.)’

Here  $\delta(a) = a$ ,  $\delta(b) = ab$ ,  $\delta(c) = abb$ . Unfortunately, if  $\mathbf{b} = cbc$ , then  $\delta(\mathbf{b}) = abbababb$ , which contains the overlap  $babab$ . In fact, one can find counter-examples to this exercise even where  $\mathbf{b}$  is a one-way infinite word. However, the result of the exercise does hold when we look at two-way infinite words.

A similar correspondence, essentially the inverse of  $\delta$ , arises in the ‘folklore’ of non-repetitive words: We construct a non-repetitive word over  $\{0,1,2\}$  in two stages. First we construct an irreducible word over  $\{0,1\}$ . Let  $t = \{t_i\}_{i \geq 0}$  be the  $\omega$ -word over  $\{0,1\}$  where  $t_i$  is the sum modulo 2 of the digits of the binary representation of  $i$ . Thus, for example,  $t_5 = 0 \equiv 1 + 0 + 1 \pmod{2}$ . Then

$$t = 011010011001 \dots$$

which is the famous Thue-Morse sequence, and is irreducible. Now let  $s = \{s_i\}_{i \geq 1}$  be the sequence where  $s_i$  counts the number of 1’s between the  $i^{th}$  and  $(i+1)^{st}$  0’s of  $t$ . Thus

$$s = 210201.$$

With this ‘counting map’ one *almost* gets a bijection from irreducible words over  $\{0,1\}$  to non-repetitive words over  $\{0,1,2\}$  not containing 010 or 212. However, the

word

$$\hat{t} = 0t_3t_4t_5 \dots$$

is irreducible, but corresponds to

$$01020 \dots,$$

which contains 010.

The smoothing effect of infinity in Mathematics is well-known; adding a point at infinity to the complex plane simplifies the theory considerably. Two-way infinite irreducible words have no boundary. There exist uncountably many such words over  $\{0,1\}$ , but they are equivalent with respect to their ages. On the other hand, as regards ages there are uncountably many one-way infinite irreducible words over  $\{0,1\}$ . The finite case is even messier. It is only very recently that an efficient way of computing the number of irreducible words of a given length has been given [7].

Notwithstanding the foregoing, it is clear that  $L_{121,323}$  is ‘close’ to the set of irreducible  $\omega$ -words over  $\{0,1\}$ . However, we are not simply studying another interesting property of irreducible words. To corroborate this, we give in an appendix our analysis of  $L_{121,212}$ , parallel to our analysis of  $L_{121,323}$ . (The two-way infinite analog of  $L_{121,212}$  was studied in Thue’s 1912 paper [29].)

The author thanks the anonymous referees for their helpful comments.

## 2. Notation

Our notation follows the usual notation of automata theory. However, to make room for infinite words, we stretch definitions as necessary. Let  $\Sigma$  be a finite set. A *word over  $\Sigma$*  is a (finite or countably infinite) sequence of elements of  $\Sigma$ . In the case that  $w$  is a countably infinite sequence of letters of  $\Sigma$ , we refer to  $w$  as an  $\omega$ -word. We refer to  $\Sigma$  as an *alphabet*, to its elements as *letters*. The set of all finite words over  $\Sigma$  is denoted by  $\Sigma^*$ . We denote the set of  $\omega$ -words over  $\Sigma$  by  $\Sigma^\omega$ . We take a naive view of words as strings of letters (with the infinite ones running off the right-hand side of the page); thus the concatenation of two words  $w$  and  $v$ , written  $wv$ , is simply the string consisting of the letters of  $w$  followed by the letters of  $v$ . (This makes sense when  $w$  is a finite word, or if  $w$  is infinite and  $v$  is empty.)

Say that  $v$  is a *subword* of  $w$  if we can write  $w = uvz$ ;  $u, v, z \in \Sigma^*$ .

If  $w = uv$ , then we say that  $u$  is a *prefix* of  $w$ ;  $v$  is a *suffix* of  $w$ . The *empty word*, denoted by  $\varepsilon$ , is the word with no letters in it. When  $w$  is finite, denote by  $|w|$  the *length* of  $w$ , equal to the number of letters of  $w$ .

Let  $\Sigma, T$  be alphabets. A *substitution*  $h: \Sigma^* \rightarrow T^*$  is a function generated by its values on  $\Sigma$ . That is, suppose  $w \in \Sigma^*$ ,  $w = a_1a_2 \dots a_m$ ;  $a_i \in \Sigma$  for  $i = 1$  to  $m$ . Then  $h(w) = h(a_1)h(a_2) \dots h(a_m)$ .

Let  $h: \Sigma^* \rightarrow \Sigma^*$  be a substitution on  $\Sigma$ . We call  $h$  *2-onto* if whenever  $\sigma \in \Sigma$ , and  $u \in \Sigma^*$  is a non-repetitive word,  $|u| = 2$ , then  $u$  is a subword of  $h^m(\sigma)$  for some  $m \in \mathbb{N}$ . We call  $h$  *increasing* if for some  $m \in \mathbb{N}$  we have  $|h^m(u)| > |h(u)|$  for all  $u \in \Sigma$ .

A word  $w$  over alphabet  $\Sigma$  is *non-repetitive* if we cannot write  $w = xy yz$ ;  $x, y, z \in \Sigma^*, y \neq \varepsilon$ . That is,  $w$  is non-repetitive if no subword of  $w$  appears twice in a row in  $w$ . The term *square-free* is also used for such words in the literature.

We say that two  $\omega$ -words with a common suffix *agree in a final segment*. Agreement in a final segment is an equivalence relation on words of type  $\omega$ , and we can speak of equivalence classes, representatives etc.

Let  $w_1, w_2, w_3, \dots$  be a sequence of words, with  $w_i$  a proper prefix of  $w_{i+1}$  for each  $i \in \mathbb{N}$ . We then define  $v = \lim_{n \rightarrow \infty} w_n$  to be that unique  $\omega$ -word having each  $w_i$  as a prefix. Note that if  $h: \Sigma^* \rightarrow \Sigma^*$  is a substitution, and for some  $a \in \Sigma$ ,  $a$  is a prefix of  $h(a)$ , then  $h^i(a)$  is a prefix of  $h^{i+1}(a)$  for each  $i \in \mathbb{N}$ . In such a case, we define  $h^\omega(a) = \lim_{n \rightarrow \infty} h^n(a)$ .

Let  $w \in \Sigma^\omega$  be a word of type  $\omega$ . The *age* of  $w$  is the set of all finite subwords of  $w$ . We denote the age of  $w$  by  $\text{Age } w$ . (The notion of the age of an infinite structure comes from mathematical logic. See [9] for example.) The *essence* of  $w$  is the set of all finite subwords of  $w$  that appear in  $w$  as subwords infinitely often; thus the essence of  $w$  is the intersection of the ages of final segments of  $w$ . We denote the essence of  $w$  by  $\text{Ess } w$ .

We fix  $S = \{1, 2, 3\}$ . Let  $L$  be the set of non-repetitive subwords over  $S$ . If  $\{a_1, a_2, \dots, a_k\}$  is a set of words, denote by  $L_{a_1, a_2, \dots, a_k}$  the sublanguage of  $L$  consisting of words not containing any of  $a_1, a_2, \dots, a_k$  as a subword. Thus  $f_0^\omega(1) \in L_{121, 323}$ .

### 3. Results

We have several structural notions for non-repetitive words: final segments, age, essence. Each of these notions gives us a different equivalence relation on words; two words may agree in a final segment, have the same age or essence. Which of these notions captures the sense in which  $L$  is ‘bigger than’  $L_{121, 323}$ ? In this paper we count the number of equivalence classes of  $L, L_{121}, L_{121, 323}, L_{121, 212}$  under agreement in a final segment, in age, and in essence. The results are tabulated as follows:

equivalence classes with respect to	$L$	$L_{121}$	$L_{121, 323}$	$L_{121, 212}$
final segments	$2^\omega$	$2^\omega$	$2^\omega$	$2^\omega$
age	$2^\omega$	$2^\omega$	$2^\omega$	$2^\omega$
essence	$2^\omega$	$2^\omega$	1	1

To establish the information in this table, it suffices (since  $L_{121, 323}, L_{121, 212} \subseteq L_{121} \subseteq L$ ) to prove the following propositions:

**Proposition 3.1.** *There are uncountably many words in  $L_{121, 323}$ .*

**Proposition 3.2.** *There are uncountably many words in  $L_{121,323}$ , no two of which have the same age.*

**Proposition 3.3.** *Let  $v, w \in L_{121,323}$ . Then  $\text{Ess } v = \text{Ess } w$ .*

**Proposition 3.4.** *There are uncountably many words with different ages in  $L_{121}$ .*

**Proposition 3.5.** *Let  $v, w \in L_{121,212}$ .  $\text{Ess } v = \text{Ess } w$ .*

**Proposition 3.6.** *There are uncountably many words with different ages in  $L_{121,212}$ .*

Proposition 3.1 follows from results in [16, 21] via the correspondence between irreducible words and  $L_{121,13231}$  mentioned in the introduction. Proofs of Propositions 3.5, 3.6 are in the Appendix.

#### 4. Proofs of Propositions 3.1–3.4

In this section,  $f: S^* \rightarrow S^*$  will be the substitution given by

$$f_0(1) = 123$$

$$f_0(2) = 13$$

$$f_0(3) = 2.$$

**Lemma 4.1.** *Let  $v \in S^*$  be a non-repetitive word not containing 121 or 323 as a subword. Then  $f_0(v)$  is non-repetitive, and does not contain 121 or 323 as a subword. Thus  $f_0^\omega(1)$  is non-repetitive, and does not contain 121 or 323.*

**Proof.** See [8, 9, 29]. ■

**Lemma 4.2.** *Let  $v \in S^*$  be a non-empty non-repetitive word not containing 121 or 323. Suppose that  $w = 323v$  is a non-repetitive word. Then  $f_0(w)$  is non-repetitive, and does not contain 121 or 323.*

We saw in the introduction that  $f_0(w)$  can never contain 121 or 323. It remains to show that  $f_0(w)$  is non-repetitive. An inspection of  $f_0$  shows that if  $f_0(323) = 2132$  is a subword of  $f_0(u)$ , then 323 is a subword of  $u$ .

Now  $w$  is non-repetitive by hypothesis, therefore  $v$  commences with a 1. (Otherwise  $w$  commences 3233 or 3232, and  $w$  is repetitive.) Thus  $23v$  does not contain 121 or 323 (or else  $v$  would, contrary to assumption), so that  $f_0(23v)$  is non-repetitive by the previous lemma. Now suppose that  $f_0(w)$  is repetitive. We may thus write  $f_0(w) = xxy$  for some  $x, y$  in  $S^*$ ,  $x \neq \varepsilon$ . Both  $f_0(323) = 2132$  and  $x$  are prefixes of  $f_0(w)$ . We have two mutually exclusive possibilities:

**Case 1.** The word 2132 is a prefix of  $x$ , and we can write  $x = 2132x'$ . Then  $2132f_0(v) = f_0(w) = xxy = 2132x'2132x'y$ , and 2132 is a subword of  $f_0(v)$ . Thus  $v$  contains 323, which is impossible.

**Case 2.** The word  $x$  is a proper prefix of 2132. This means that the first letter of  $x$  must occur twice in 2132, since  $f_0(w) = 2132f_0(v) = xxy$ . Therefore we must have  $x = 213$ . Then  $f_0(v)$  commences with 13, and  $v$  commences with a 2, contradicting our earlier observation that  $v$  must commence with a 1.

Since both these cases are impossible, we conclude that  $f_0(w)$  is non-repetitive, as required.  $\blacksquare$

**Lemma 4.3.** *If  $w = u323v$  where  $u, v \in S^*$ ,  $u, v \neq \varepsilon$ , then  $f_0(w)$  is repetitive. (In such a case, we say that  $w$  contains a 323 internally.)*

**Proof.** If  $w$  is repetitive, then of course  $f_0(w)$  is repetitive. Suppose that  $w$  is non-repetitive. Then 323 must be preceded and followed in  $w$  by 1's, so that  $w$  contains 13231. But then  $f_0(w)$  contains  $f_0(13231) = 12\ 321\ 321\ 23$ , which repeats 321.  $\blacksquare$

**Proof of Proposition 3.1.** Consider the substitutions  $f_0: S^* \rightarrow S^*$  and  $g_0: S^* \rightarrow S^*$  given by

$$\begin{aligned} f_0(1) &= 123, & g_0(1) &= 2, \\ f_0(2) &= 13, & g_0(2) &= 31, \\ f_0(3) &= 2, & g_0(3) &= 321. \end{aligned}$$

One sees that  $g_0$  is obtained from  $f_0$  by interchanging the roles of 1 and 3. Note that if  $v \in S^*$ , then  $f_0^2(v)$  commences with a 1; that is, we can write  $f_0^2(v) = 1w$  for some  $w$ .

Define substitutions  $\mu_0: S^* \rightarrow S^*$  and  $\mu_1: S^* \rightarrow S^*$  by  $\mu_0 = f_0^3$  and  $\mu_1 = f_0^2 g_0$ . The substitutions  $f_0$  and  $g_0$  are clearly 1-1. It follows that if  $v \in S^*$ , then  $\mu_0(v) \neq \mu_1(v)$ . Let  $T = \{0, 1\}$ . Define  $\phi: T^* \rightarrow S^*$  recursively by

$$\begin{aligned} \phi(\varepsilon) &= 1, \\ \phi(0v) &= \mu_0(\phi(v)), \\ \phi(1v) &= \mu_1(\phi(v)). \end{aligned}$$

Thus  $\phi(01) = \mu_0(\phi(1)) = \mu_0(\mu_1(\phi(\varepsilon))) = \mu_0(\mu_1(1))$ , for example. By Lemma 4.1, (which will also hold true with  $g_0$  in place of  $f_0$ ) it follows that if  $v \in T^*$ , then  $\phi(v)$  is a non-repetitive word in  $S^*$ , not containing 121 or 323. We see that if  $v \in T^*$ , then  $\phi(v)$  commences with a 1. Write  $\phi(v) = 1x$ . Let  $u = u_1 u_2 \dots u_m, u_i \in T$  for each  $i$ . Suppose  $w = uv$ . Then  $\phi(w) = \mu_{u_1}(\mu_{u_2}(\dots \mu_{u_m}(\phi(v)) \dots)) = \mu_{u_1}(\mu_{u_2}(\dots \mu_{u_m}(1x)) \dots)$  which has  $\mu_{u_1}(\mu_{u_2}(\dots \mu_{u_m}(1) \dots)) = \phi(u)$  as a prefix. We see then that if  $u, v \in T^*$ , and  $u$  is a prefix of  $v$ , then  $\phi(u)$  is a prefix of  $\phi(v)$ .

Thus if  $v = v_1 v_2 \dots v_n \dots$  is an  $\omega$ -word, with each  $v_i \in T$ , then it makes sense to define  $\phi(v) = \lim_{n \rightarrow \infty} \phi(v_1 v_2 \dots v_n)$ . Also, since  $\mu_0(w) \neq \mu_1(w)$  if  $w$  is a non-empty word in  $S^*$ , and  $\mu_0, \mu_1$  are 1-1, it follows by induction that if  $u, v \in T^*$ , and  $u \neq v$ , then  $\phi(u) \neq \phi(v)$ .

Let  $W$  be the set of  $\omega$ -words over  $T$ . It follows that  $V = \{\phi(w) : w \in W\}$  is an uncountable set of distinct non-repetitive words over  $S$ , not containing 121 or 323. This establishes Proposition 3.1.  $\blacksquare$

**Proof of Proposition 3.2.** If  $w$  is a non-repetitive word over  $S^*$  beginning with 123, write  $w = 123w'$ . For such a  $w$ , let  $g_1(w) = 323w'$ .

Define maps  $h_0 : S^* \rightarrow S^*$  and  $h_1 : S^* \rightarrow S^*$  by  $h_0 = f_0^5$  and  $h_1 = f_0^2 g_1 f_0^3$ . If  $v \in S^*$ , then  $h_0(v) \neq h_1(v)$ , since  $h_0(v)$  commences with  $f_0^2(1)$ , while  $h_1(v)$  starts with  $f_0^2(3)$ , and  $f$  is 1-1.

Again let  $T = \{0, 1\}$  and define  $\phi : T^* \rightarrow S^*$  recursively by

$$\begin{aligned}\phi(\varepsilon) &= 1, \\ \phi(0v) &= h_0(\phi(v)), \\ \phi(1v) &= h_1(\phi(v)).\end{aligned}$$

By Lemmas 4.1, 4.2, we see that  $\phi(v) \in L_{121,323}$  for all  $v \in T^*$ . Again, if  $u, v \in T^*$ , and  $u$  is a prefix of  $v$ , then  $\phi(u)$  is a prefix of  $\phi(v)$ , and  $\phi$  can be extended to  $\omega$ -words. Also, since  $h_0(w) \neq h_1(w)$  if  $w$  is a non-empty word in  $S^*$ , it follows that if  $u, v \in T^*$ , and  $u \neq v$ , then  $\phi(u) \neq \phi(v)$ .

One other fact about  $\phi$  is to be noted; earlier we remarked that if  $f_0(v)$  contains  $f_0(323)$ , then  $v$  contains 323. Suppose that  $\psi = h_{u_1} h_{u_2} \dots h_{u_k} f_0^2$  where  $u_i \in T$  for each  $i$ . If  $\psi(v)$  contains  $\psi(323)$ , then  $v$  contains 323. This can be established by induction on  $k$ .

Let  $W$  be the set of  $\omega$ -words over  $T$ . Again we will have that  $V = \{\phi(w) : w \in W\}$  is an uncountable set of distinct words in  $L_{121,323}$ . Moreover, each word in  $V$  has a different age.

Let  $u, v$  be  $\omega$ -words over  $T$ ,  $u \neq v$ . We shall show that one of  $\phi(u)$  and  $\phi(v)$  contains a subword not found in the other. Since  $u \neq v$ , suppose they first differ in the  $k+1^{\text{st}}$  symbol. Say without loss of generality that  $u = u_1 u_2 \dots u_k 0 u'$ ,  $v = u_1 u_2 \dots u_k 1 v'$ , where the  $u_i, v_i \in T, u', v' \in T^*$ . Let  $\psi = h_{u_1} h_{u_2} \dots h_{u_k}$ . Then  $\phi(u) = \psi(\phi(0u'))$  and  $\phi(v) = \psi(\phi(1v'))$ . We claim that  $\psi(f_0^2(323))$  is a subword of  $\phi(v)$ , but not of  $\phi(u)$ .

Now  $\phi(u) = \psi(f_0^2(f_0^3(\phi(u'))))$ . Since  $\phi(u)$  is non-repetitive, as noted earlier, this means that  $f_0^2(f_0^3(\phi(u')))$  is non-repetitive. From Lemma 2, it follows that  $f_0^3(\phi(u'))$  does not contain a 323 internally. Of course,  $f_0^3(\phi(u'))$  begins with a 1, and thus does not contain 323 as a subword at all. It follows that  $\psi(f_0^2(323))$  is not a subword of  $\phi(u)$ . On the other hand,  $\phi(v) = \psi(f_0^2 g_1(f_0^3(\phi(v'))))$  has  $\psi(f_0^2(323))$  as a prefix. We have thus demonstrated Proposition 3.2. ■

**Proof of Proposition 3.3.** Suppose  $v \in L_{121,323}$ . A final segment  $v'$  of  $v$  will commence with a 1, and we can write  $v = 1b_1 1b_2 1b_3 \dots 1b_n 1 \dots$  so that none of the  $b_n$  contains a 1. What do the pieces  $1b_n$  look like? The non-repetitive words starting with 1 and containing only a single 1 are 1, 12, 13, 123, 132, 1232, 1323. However,  $v$  cannot contain 11, 121 or 1323, so that  $1b_n$  must be either  $A = 13$ ,  $B = 123$ ,  $C = 132$  or  $D = 1232$ . Since  $BD$  and  $BA1$  are repetitive,  $B$  only appears

in  $v$  in the context  $BC$ . Also  $AC$  and  $DC1$  are repetitive, so  $C$  can occur in  $v'$  only at the beginning, or in the context  $BC$ . Thus a final segment of  $v$  is concatenated from the pieces  $A$ ,  $BC$  and  $D$ . However,  $A = f_0^2(3)$ ,  $BC = f_0^2(1)$  and  $D = f_0^2(2)$ . We conclude that a final segment of  $v$  is of the form  $f_0^2(w) = f_0(f_0(w))$  for some  $w \in S^*$ . As noted earlier in the introduction,  $f_0(w)$  cannot contain 121 or 323. Also  $f_0(w)$  is non-repetitive, since  $v$  is. In summary, a final segment of  $v$  is of the form  $f_0(v')$  where  $v' \in L_{121,323}$ . Induction gives the following result:

**Lemma 4.4.** *Let  $n \in \mathbb{N}$  be given. If  $v \in L_{121,323}$ , then a final segment of  $v$  is of the form  $f_0^n(w)$ , where  $w \in L_{121,323}$ .*

**Lemma 4.5.** *Let  $v$  be an  $\omega$ -word over  $\Sigma$ . Let  $h$  be an increasing, 2-onto substitution on  $\Sigma$ . Let  $g: \Sigma^* \rightarrow T$  be an increasing substitution. Suppose that for each  $n \in \mathbb{N}$  there is an  $\omega$ -word  $w$  such that  $g(h^n(w))$  is a final segment of  $v$ . Then  $\text{Ess } v = \text{Ess } g(h^\omega(1))$ .*

**Proof.** Suppose that the conditions of the lemma hold and that  $u \in \text{Ess } g(h^\omega(1))$ . Pick  $m \in \mathbb{N}$ . We show that  $u$  occurs as a subword of  $v$  at least  $m$  times. Since  $u \in \text{Ess } g(h^\omega(1))$ , pick  $n \in \mathbb{N}$  such that  $u$  appears as a subword of  $g(h^n(1))$  at least  $m$  times. Since  $h$  is 2-onto, pick  $k \in \mathbb{N}$  so large that for any  $\sigma \in \Sigma$ , 1 is a subword of  $h^k(\sigma)$ . Thus  $u$  appears at least  $m$  times in  $h^{n+k}(w)$  for any non-empty word  $w$  over  $\Sigma$ . By the conditions of the lemma, for some  $w$ , word  $g(h^{n+k}(w))$  will be a suffix of  $v$ . Thus  $u$  occurs as a subword of  $v$  at least  $m$  times. Since  $m$  was arbitrary,  $\text{Ess } g(h^\omega(1)) \subseteq \text{Ess } v$ .

Suppose that  $u \in \text{Ess } v$ . Pick  $n \in \mathbb{N}$  such that  $|g(h^n(\sigma))| > |u|$  whenever  $\sigma \in \Sigma$ . This is possible since  $g, h$  are increasing. Since  $u \in \text{Ess } v$ , we can find  $w$  such that  $g(h^n(w))$  is a final segment of  $v$  and  $u$  is a subword of  $g(h^n(w))$ . In fact, by our choice of  $n$ ,  $u$  will be a subword of  $g(h^n(y))$  for some subword  $y$  of  $w$  with  $|y| = 2$ . Since  $h$  is 2-onto,  $u$  will be a subword of  $g(h^r(1))$ , some  $r \in \mathbb{N}$ . It follows that  $u \in \text{Ess } g(h^\omega(1))$ . ■

**Corollary 4.6.** *If  $v \in L_{121,323}$  then  $\text{Ess } f_0^\omega(1) = \text{Ess } v$ .*

**Proof.** We apply the previous lemma with  $g = h = f_0$ . ■

This establishes Proposition 3.3. ■

**Proof of Proposition 3.4.** Consider the word

$$\begin{aligned} f_0^4(1) &= f_0^3(123) \\ &= f_0^2(123132) \\ &= f_0(123132123213) = 1231\underline{321232131}23132131232. \end{aligned}$$

This word contains  $\alpha = 321232131$  as a subword as indicated. Thus  $f_0^\omega(1)$  contains  $\alpha$  as a subword infinitely often. Suppose  $w$  is a word arising from  $f_0^\omega(1)$  by replacing some occurrences of  $\alpha$  by the word  $\beta = 32123213231232131$ .

**Remark 4.7.** No prefix of  $\alpha$  is a proper suffix of  $\alpha$ . Thus if  $\alpha x = y \alpha$  for non-empty words  $x, y$  then  $|x| = |y| \geq |\alpha|$ . A similar remark holds true for  $\beta$ .

**Remark 4.8.** Neither  $f_0^\omega(1)$  nor  $\beta$  contains any of 22, 321321, 123123, 3131, 1313 or 121 as a subword. One sees that  $w$  cannot contain any of these subwords either.

Thus if  $w = x321232132y$ , some  $x, y$  then the first letter of  $y$  must be a 3. We can thus write  $w = x3212321323y'$ . Since 323 is not a subword of  $f_0^\omega(1)$ , this means that  $w = x\beta y''$ , for some  $y''$ . Put briefly, if  $\beta'$  is a prefix of  $\beta$  with  $|\beta'| \geq 9$  then if  $w = x\beta' y$  for some  $x, y$ , then  $w = x\beta y'$ , some  $y'$ . A long prefix of  $\beta$  can only appear in  $w$  as part of  $\beta$ .

**Remark 4.9.** If  $\beta'$  is a prefix of  $\beta$  with  $|\beta'| \geq 9$ , then if  $w = x\beta' y$  for some  $x, y$ , then  $w = x\beta y'$ , some  $y'$ .

Suppose  $w = x_0 31232131y$ , some  $x_0, y$ . Since  $\beta$  and  $f_0^\omega(1)$  do not contain 33, neither does  $w$ . Thus the last letter of  $x_0$  is either a 2 or a 1.

**Case I.** Write  $x_0 = x_1 2$ . Then  $w = x_1 231232131y$ . By Remark 4.8, the last letter of  $x_1$  must be a 3. Then  $w = x' \beta y$ , some  $x'$  with  $x' \beta = x_0 31232131$ .

**Case II.** Write  $x_0 = x_1 1$ , and  $w = x_1 131232131y$ . By Remark 4.8, we can write  $x_1 = x_2 2$ , and  $y = 2y_1$ . Then  $w = x_2 21312321312y_1$ . Again by Remark 4.8, we can write  $y_1 = 3y_2$ , so that  $w = x_2 213123213123y_2$ , so that  $w$  contains a repetition of 213123.

Changing all  $\beta$ 's in  $w$  back to  $\alpha$ 's removes this repetition, so an occurrence of  $\beta$  in  $w$  must intersect somehow an occurrence of  $z = 213123213123$ . An inspection of  $\beta$  shows this is impossible;  $\beta$  and  $z$  do not contain each other, and no prefix of  $\beta$  is a suffix of  $z$  or vice versa. Thus the present case is impossible.

**Remark 4.10.** If  $\beta'$  is a suffix of  $\beta$  with  $|\beta'| \geq 8$ , then if  $w = x\beta' y$  for some  $x, y$ , then  $w = x' \beta y$ , where  $x\beta' = x' \beta$ .

**Lemma 4.11.** *Word  $w$  is non-repetitive.*

**Proof.** Suppose that  $w$  is repetitive. This means that a finite prefix of  $w$  is repetitive, so that replacing finitely many  $\alpha$ 's in  $f_0^\omega(1)$  by  $\beta$ 's can cause a repetition. Let  $w$  be chosen such that  $w$  contains the least possible occurrences of  $\beta$ . Suppose that  $w = xuu y$ ,  $u \neq \varepsilon$ . Since replacing  $\beta$ 's by  $\alpha$ 's in  $w$  removes the repetition  $uu$ ,  $uu$  must intersect some occurrence of  $\beta$ . There are four possibilities:

- Case 1: Word  $uu$  is a subword of  $\beta$ .
- Case 2: Word  $\beta$  overlaps word  $uu$  on the left.
- Case 3: Word  $\beta$  overlaps  $uu$  on the right.
- Case 4: Word  $\beta$  is a subword of  $uu$ .

We attack these cases separately.

**Case 1.** Word  $uu$  is a subword of  $\beta$ . This is impossible, since  $\beta$  is non-repetitive.

**Case 2.** Word  $\beta$  overlaps  $uu$  on the left. Write  $\beta = \beta' \beta''$  such that  $\beta'' \neq \varepsilon$ ,  $uu = \beta'' v$  and  $w = x\beta'' v y$ . If  $|\beta''| \leq |u|$ , then we can write  $u = u' \beta''$  for some  $u'$ . In any case,

$|\beta''| < |uu|$ , since  $uu$  is not a subword of  $\beta$ . If  $|u| < |\beta''| < |uu|$ , then let  $\beta'' = uc$ . Then  $c$  is a proper suffix of  $\beta$  which is a prefix of  $u$ .

In all cases, a proper suffix of  $\beta$  is a prefix of  $u$ . Renaming if necessary, write  $\beta = \beta' \beta''$ ,  $u = \beta'' u''$ ,  $\beta'' \neq \varepsilon$ .

**Case 2(a).**  $|\beta''| \leq 7$ .

In this case,  $\beta''$  is a suffix of  $\alpha$ . Therefore,  $uu = \beta'' u'' u$  is a suffix of  $\alpha u'' u$ , and  $w^* = x \alpha u'' u y$  contains the repetition  $uu$ . This is impossible, as  $w^*$  contains one fewer  $\beta$  than  $w$ .

**Case 2(b).**  $|\beta''| \geq 8$ .

Note that  $w = x \beta'' u'' \beta'' u'' y$ . By Remark 4.10, we can write  $w = x' \beta u'' \beta'' u'' y$ , and also  $w = x'' \beta u'' y$ , where  $x' \beta u'' = xu$  and  $x'' \beta u'' = xuu$ .

If  $|x''| < |x' \beta|$ , then a proper prefix of  $\beta$  will be a suffix of  $\beta$ . This is impossible by Remark 4.7. We may thus assume that  $|x''| \geq |x' \beta|$ , so that we can write  $w = x' \beta u^* \beta u'' y$ , where  $u'' = u^* u^{**}$ . However, this means that  $w^* = x' \alpha u^* \alpha u'' y = x' \alpha u^* \alpha u^* u^{**} y$  is repetitive, but contains two fewer  $\beta$ 's than  $w$ , which is impossible.

**Case 3.** We can write  $uu = v \beta'$  where  $\beta = \beta' \beta''$  and  $w = xv \beta' y$ . Reasoning as in the previous case we can assume without loss of generality that  $u = u' \beta'$  and  $w = xuu' \beta y$ .

**Case 3(a).**  $|\beta'| \leq 8$ . In this case  $w^* = xuu' \alpha y$  contains the subword  $uu$ , and one less  $\beta$  than  $w$ . The reasoning is as in Case 2(a) above.

**Case 3(b).**  $|\beta'| \geq 9$ . Here we use Remark 4.9 to conclude that  $w = xu' \beta u'' u' \beta u'' y'$ , some  $y'$  where  $u = u' \beta u''$ . Then  $w^* = xu^* u^{**} \alpha u^{**} \alpha y$ , is repetitive, an impossibility. The reasoning is as in Case 2(b) above.

**Case 4.**  $uu = c \beta d$ , some  $c, d$ .

**Case 4(a).**  $|c|, |d| \leq |u|$ . Then  $u = c \beta' = \beta'' d$  and  $\beta = \beta' \beta''$ . But  $|\beta| = 17$ , so either  $|\beta'| \geq 9$ , which is dealt with as in Case 2(b), or  $|\beta''| \geq 9$ , which is dealt with as in Case 3(b).

**Case 4(b).**  $|c| > |u|$  or  $|d| > u$ . Then  $u = v_1 \beta v_2$ , for some  $v_1, v_2$ , and  $w = xv_1 \beta v_2 v_1 \beta v_2 y$ . But then  $w^* = xv_1 \alpha v_2 v_1 \alpha v_2 y$  is repetitive, with fewer  $\beta$ 's than  $w$ .

This completes our case by case analysis. In all cases, the assumption that  $w$  is repetitive leads to a contradiction. Thus  $w$  is non-repetitive, as desired.  $\blacksquare$

Combining this lemma with Remark 4.8, we see that whenever  $w$  is obtained from  $f_0^\omega(1)$  by replacing  $\alpha$ 's with  $\beta$ 's we have  $w \in L_{121}$ . Here is a 1-1 correspondence between  $\omega$ -words  $w$  obtained in this way and the set of all  $\omega$ -words over  $T = \{0, 1\}$ : Given  $w$ , let  $u = \{u_i\}_{i=1}^\infty$  be the word where  $u_i = 1$  exactly when the  $i^{th}$  occurrence of  $\alpha$  in  $f_0^\omega(1)$  is replaced by  $\beta$  in  $w$ . Thus  $u$  records the pattern by which  $\alpha$ 's alternate with  $\beta$ 's in  $w$ . Thanks to this correspondence, or rather, its inverse, we can show there are uncountably many  $\omega$ -words in  $L_{121}$  with different ages by showing that there are uncountably many words in  $T^\omega$  with different ages.

Let  $v \in T^\omega, v = \{v_i\}_{i=1}^\infty$ . Consider the map  $\nu: T^\omega \rightarrow T^\omega$  given by  $\nu(v) = \{u_j\}_{j=1}^\infty$  where  $u_j = 1$  exactly when for some  $k, v_k = 1$  and  $j \equiv 2^{k-1} \pmod{2^k}$ . For example, if  $v_1 = 1$ , then  $u_j = 1, j$  odd. One verifies that if  $v \neq v'$ , then  $\nu(v), \nu(v')$  have different ages. There are thus uncountably many words in  $T^\omega$  with different ages. It follows that there are uncountably many words in  $L_{121}$  with different ages.

This establishes Proposition 3.4. ■

## 5. Appendix: Proofs of Propositions 3.5–3.6

Let  $R = \{a, b, c, d, e\}$ . Let  $f_1: R^* \rightarrow S^*, f_2: R^* \rightarrow R^*$  be given by

$$\begin{aligned} f_1(a) &= 123, & f_2(a) &= adcbcb, \\ f_1(b) &= 1232, & f_2(b) &= adcbcd, \\ f_1(c) &= 13, & f_2(c) &= aebc, \\ f_1(d) &= 132, & f_2(d) &= aebedc, \\ f_1(e) &= 1323, & f_2(e) &= aebedcbcb. \end{aligned}$$

**Lemma 5.1.** *Let  $w \in L_{121,212}$ . Then for every  $n \in \mathbb{N}$  a final segment of  $w$  is of the form  $f_1(f_2^n(v_n))$ , some  $\omega$ -word  $v_n \in R^*$ .*

**Proof.** Replacing  $w$  by a final segment if necessary, write

$$w = 1w_11w_21w_3 \dots$$

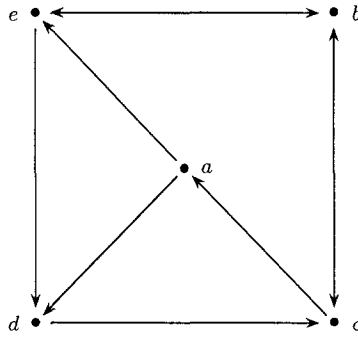
where each  $w_i \in \{2,3\}^*$ . Since the maximal non-repetitive words over  $\{2,3\}^*$  are 232 and 323, we see that for each  $i$ , we must have  $1w_i \in \{12, 123, 1232, 13, 132, 1323\}$ . In fact, we can exclude the possibility that  $1w_i = 12$ , since in such a case  $1w_i1 = 121$  would be a subword of  $w$ . Thus  $w = f_1(u)$ , some  $u \in R^*$ .

Various observations concerning possible subwords of  $u$  may be made. For example,  $ab \notin \text{Age } u$ , or else  $f_1(ab) = 1231232$  is a subword of  $w$ , which is impossible, since  $w$  is non-repetitive. Similarly,  $ea$  is not a subword of  $u$ . Also,  $ba, da \notin \text{Age } u$ , or 212 appears in  $w$ . By arguments of this sort one shows that  $u$  can be walked on digraph  $D$  of Figure 1.

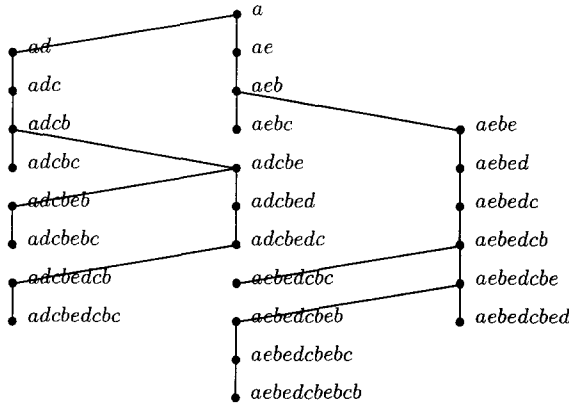
We note that five additional restrictions on subwords of  $u$  hold:

1. No word of the form  $dzbza, z \in R^*$  can be a subword of  $u$ . Otherwise  $f_1(dzbza) = 132f_1(z)1232f_1(z)123$  is a subword of  $w$ . This is impossible, since  $32f_1(z)12$  is repeated.
2. No word of the form  $azezd, z \in R^*$  can be a subword of  $u$ . Otherwise  $f_1(azezd) = 123f_1(z)1323f_1(z)132$  is a subword of  $w$ . This is impossible, since  $3f_1(z)132$  is repeated.

3. No word of the form  $ezcz, z \in R^*$  can be a subword of  $u$ . Otherwise  $f_1(ezcz)1 = 132 \ 3f_1(z)1 \ 3f_1(z)1$  is a subword of  $w$ . This is impossible, since  $3f_1(z)1$  is repeated.
4. No word of the form  $zazb, z \in R^*$  can be a subword of  $u$ . Otherwise  $f_1(zazb) = f_1(z)12 \ f_1(z)12 \ 3$  is a subword of  $w$ . This is impossible, since  $f_1(z)12$  is repeated.
5. No word of the form  $zdze, z \in R^*$  can be a subword of  $u$ . Otherwise  $f_1(zdze) = f_1(z)132 \ f_1(z)132 \ 3$  is a subword of  $w$ . This is impossible, since  $f_1(z)132$  is repeated.

Fig. 1. Transition digraph  $D$ 

Replacing  $u$  by a final segment if necessary, suppose that  $u$  begins with an  $a$ . Then  $u = au_1au_2au_3 \dots$  where  $u_i \in \{b, c, d, e\}^*$ . Keeping in mind that  $au_i$  must be non-repetitive for each  $i$ , and also our five other restrictions on subwords of  $u$ , we restrict the possible values of  $au_i$  to those enumerated in Figure 2.

Fig. 2. Candidate values for the  $au_i$

Reference to Figure 1 reminds us that  $au_i$  must always have a  $c$  as its last letter. The possible values of  $au_i$  are thus among the following:

$adc^{**}, adcbc^*, adcbebc, adcbcdc, adcbcdcbc^*, aebc, aebedc, aebedcbc^*, aebedcbebc.$

Here the singly-starred words are ruled out, since if  $az_i$  has one of these values, then  $u$  contains subword  $az_ia$ , which has  $dcbca$  as a suffix. This is impossible since  $dcbca$  is of the form  $dzbza$ . The doubly-starred word  $adc$  cannot appear twice in  $u$ , since otherwise if  $az_i = adc$ , then  $caz_iad = cadcad$  or  $caz_iae = cadcae$  will be a subword of  $u$ . Clearly  $cadcad$  is repetitive, while  $cadcae$  is outlawed by restriction 5 on subwords of  $u$ .

We may thus write  $u = f_2(v_1)$ , some  $v_1 \in R^*$ . Clearly  $v_1$  must be non-repetitive. We show that a final segment of  $v_1$  can be walked on digraph  $D$  of Figure 1, and that restrictions 1–5 on subwords hold for a final segment of  $v_1$ . Our result will follow by the obvious induction.

Let us verify that a final segment of  $v_1$  can be walked on digraph  $D$  of Figure 1. First,  $cd \notin \text{Age}v_1$ , since otherwise  $cf_2(c)f_2(d) = caebcaeb \in \text{Age}u$ , which is impossible. Similarly,  $ce, de \notin \text{Age}v_1$ . Also, if  $ea \in \text{Age}v_1$ , then  $f_2(ea)a = aebedcbebc \in \text{Age}u$ , which is impossible. Similarly,  $ac, ec \notin \text{Age}v_1$ . If  $da \in \text{Age}v_1$ , then  $f_2(da) = aebedcadcbebc \in \text{Age}u$ , which contradicts restriction 1 on subwords of  $u$ . Similarly,  $ba, db \notin \text{Age}v_1$ . It now follows that  $bd \notin \text{Age}v_1$ . Otherwise, since  $da, db \notin \text{Age}v_1$ , we have  $f_2(bd)ae = adcbedcae \in \text{Age}u$ , which is impossible. Finally, since  $ba, da, ca \notin \text{Age}v_1$ , if  $ab$  is a subword of  $v_1$  more than once, then so is  $cab$ . Then  $f_2(cab) = ae bcadcbe bcadcbe dc \in \text{Age}u$ . This is impossible. Since none of  $ab, ac, ba, bd, cd, ce, da, db, de, ea, ec$  can appear in  $v_1$  more than once, a final segment of  $v_1$  can be walked on digraph  $D$  of Figure 1.

To finish our proof we verify that our other 5 restrictions on subwords hold for  $v_1$ :

1. No word of the form  $dzbza, z \in R^*$  can be a subword of  $v_1$ . Otherwise  $f_2(dzbza) = aebedcf_2(z)adcbcdcf_2(z)adcbebc$  is a subword of  $u$ .
2. No word of the form  $ezcz, z \in R^*$  can be a subword of  $v_1$ . Otherwise  $f_2(ezcz)a = aebedcbebcf_2(z)aebcf_2(z)a$  is a subword of  $u$ .
3. No word of the form  $zazb, z \in R^*$  can be a subword of  $v_1$ . Otherwise  $bcf_2(zazb) = bcf_2(z)adcbebcf_2(z)adcbcdc$  is a subword of  $u$ .
4. No word of the form  $zdze, z \in R^*$  can be a subword of  $v_1$ . Otherwise  $f_2(zdze) = f_2(z)aebedcf_2(z)aebedcbebc$  is a subword of  $u$ .
5. No word of the form  $zdze, z \in R^*$  can be a subword of  $u$ . Otherwise  $f_2(zdze) = f_2(z)aebedcf_2(z)aebedcbebc$  is a subword of  $w$ . This is impossible, since  $f_2(z)aebedc$  is repeated. ■

**Proof of Proposition 3.5.** Combine the previous lemma with the proof of Lemma 4.5, with  $g = f_1, h = f_2$ , and appropriate changes of alphabet. ■

Let  $f: A^* \rightarrow B^*$  be a substitution. Suppose that in any solution of

$$x''f(b_1)f(b_2)\dots f(b_m)y' = y''f(c_1)f(c_2)\dots f(c_r)z',$$

$$f(x) = x'x'', f(y) = y'y'', f(z) = z'z'', x'' \neq \varepsilon, y'' \neq \varepsilon, z'' \neq \varepsilon$$

$$b_1, b_2, \dots, b_m, c_1, c_2, \dots, c_r, x, y, z \in A$$

we have  $x''=y'', y'=z', m=r$  and  $b_i=c_i$  for  $i=1$  to  $m$ . In this case we say that  $f$  satisfies the *line-up condition*. Both  $f_1$  and  $f_2$  satisfy the line-up condition.

**Lemma 5.2.** *Let  $f: A^* \rightarrow B^*$  be a substitution which satisfies the line-up condition. Let  $u \in A^*$ . Suppose that  $f(u)$  is repetitive. Then either*

1.  $f(v)$  is repetitive for some subword  $v$  of  $u$  with  $|v| \leq 2$  or
2. a subword of  $u$  has the form  $xwywz$ , some  $x, y, z \in A$ , some  $w \in A^*$  where  $f(xyz)$  is repetitive.

**Proof.** Write  $f(u) = abbc$ ,  $b \neq \varepsilon$ . If  $bb$  is a subword of  $f(v)$ , some subword  $v$  of  $u$ ,  $|v| \leq 2$ , then we are done. Otherwise we can write

$$b = x''f(b_1)f(b_2)\dots f(b_m)y' = y''f(c_1)f(c_2)\dots f(c_r)z'$$

$$f(x) = x'x'', f(y) = y'y'', f(z) = z'z''; x'' \neq \varepsilon, y'' \neq \varepsilon, z'' \neq \varepsilon$$

$$\text{some } b_1, b_2, \dots, b_m, c_1, c_2, \dots, c_r, x, y, z \in A$$

where  $xb_1\dots b_my c_1\dots c_n z$  is a subword of  $u$ . Let  $w = b_1b_2\dots b_m$ . By the line-up condition,  $xb_1\dots b_my c_1\dots c_n z = xwywz$ . Also,  $x'' = y'', y' = z'$ , so that  $f(xyz) = x'x''y'y''z'z'' = x'x''y'y''z'z''$  and is repetitive. ■

**Corollary 5.3.** *Let  $f: A^* \rightarrow B^*$  be a substitution which satisfies the line-up condition. Let  $u \in A^*$ . Suppose that*

1.  $f(v)$  is non-repetitive whenever  $v$  is a two letter subword of  $u$  and
2. if  $xyz$  is a three letter word over  $A$  such that  $f(xyz)$  is repetitive, then no subword of the form  $xwywz$ , some  $w \in A^*$  appears in  $u$ .

*Then  $f(u)$  is non-repetitive.*

**Remark 5.4.** If  $xyz$  is a repetitive three letter word then  $x = y$  or  $y = x$ . If  $x = y$  then  $xwywz = xwxwz$  is repetitive. Similarly, if  $y = z$ , then  $xwywz$  is repetitive. We thus have the following corollary.

**Corollary 5.5.** *Let  $f: A^* \rightarrow B^*$  be a substitution which satisfies the line-up condition. Let  $u \in A^*$  be non-repetitive. Suppose that*

1.  $f(v)$  is non-repetitive whenever  $v$  is a two letter subword of  $u$  and
2. if  $xyz$  is a non-repetitive three letter word over  $A$  such that  $f(xyz)$  is repetitive, then no subword of the form  $xwywz$ , some  $w \in A^*$  appears in  $u$ .

*Then  $f(u)$  is non-repetitive.*

**Proof.** If  $xyz$  is a repetitive three letter word then by the above remark  $xwywz$  cannot be a subword of  $u$ , which is non-repetitive. ■

$f_1$	$f_2$
$cab, ea-$	$cab, ea-$
$dba$	$dba$
$ac-, ec-, -cd, -ce$	$ac-, ec-, -cd, -ce$
$bd-, -de$	$bda, bde$
$aec, aed, ced$	$aed$

Table. 3. Non-repetitive triples  $xyz$  such that  $f_1(xyz), f_2(xyz)$  is repetitive

We plan to apply Corollary 5.5 with  $f = f_1$  (with  $f = f_2$ ). As a preliminary, we list the non-repetitive triples  $xyz$  over  $\{a, b, c, d, e\}$  where  $f = f_1(xyz)$  (where  $f_2(xyz)$  is repetitive).

Here the inclusion of  $ae-$  for  $f_1$  signifies that  $f_1(aez)$  is repetitive for any  $z \in R$ . One sees that the triples listed for  $f_2$  form a subset of those listed for  $f_1$ .

**Remark 5.6.** For  $f = f_1$  or  $f_2$ , the condition that  $u$  can be walked on digraph  $D$  of Figure 1 is stronger than condition 1 of Corollary 5.5.

**Lemma 5.7.** *If  $n$  is a non-negative integer then  $f_1(f_2^n(a))$  is non-repetitive, and does not contain 121 or 212 as a subword.*

**Proof.** We use induction. The result is true for  $n=0$  since  $f_1(f_2^0(a)) = f(a) = 123$ . One verifies that  $f_1(f_2(v))$  will never contain 121 or 212 as a subword for any  $v \in R^*$ . It is also clear that  $f_2^n(a)$  can be walked on digraph  $D$  of Figure 1 for each non-negative  $n$ . Our result will thus follow by application of Corollary 5.5 if we can verify that  $f_2^n(a)$  never contains a word of form  $xwywz$  for any of the triples  $xyz$  listed for  $f_1$  in Table 3. Suppose then that  $n$  is least such that  $f_2^n(a)$  contains a word of form  $xwywz$  for some triple  $xyz$  listed for  $f_1$  in Table 3. It follows from Corollary 5.5 that  $f_2^{n-1}(a)$  is non-repetitive. For  $u \in R$  define  $N(u) = \{v : uv \text{ is a directed edge of } D\}, N^-(u) = \{v : vu \text{ is a directed edge of } D\}$ . We now rule out the problem triples one by one:

**Triples  $cab, ac-$**

Suppose that  $cuaub$  is a subword of  $f_2^n(a)$ . Since  $b \notin N(a), u \neq \varepsilon$ . The first letter of  $u$  must be in  $N(c) \cap N(a) = \emptyset$ , which is impossible. Thus  $f_2^n(a)$  has no subword of form  $cuaub$ . Similarly, suppose that  $aucu-$  is a subword of  $f_2^n(a)$ . Since  $c \notin N(a), u \neq \varepsilon$ . The first letter of  $u$  must again be in  $N(c) \cap N(a)$ .

**Triple  $-cd$**

Suppose that  $ucud$  is a subword of  $f_2^n(a)$ . Since  $d \notin N(c), u \neq \varepsilon$ . The last letter of  $u$  must be in  $N^-(c) \cap N^-(d) = \emptyset$ , which is impossible.

**Triple  $dba$**

Suppose that  $dubua$  is a subword of  $f_2^n(a)$ . Since  $b \notin N(d), u \neq \varepsilon$ . The first letter of  $u$  must be in  $N(d) \cap N(b) = \{c\}$ . The last letter of  $u$  must be in

$N^-(b) \cap N^-(a) = \{c\}$ . This means  $dubua = dcu'cbcu'ca$ . However, then  $f_2^n(a)$  contains a subword  $cbc$ . Perusal of the definition of  $f_2$  shows this to be impossible.

**Triple  $ec$ -**

Suppose that  $euca$  is a subword of  $f_2^n(a)$ . Since  $c \notin N(r), u \neq \varepsilon$ . The first letter of  $u$  must be in  $N(e) \cap N(c) = \{b\}$ . Since  $cbc$  cannot be a subword of  $f_2^n(a)$ , the second letter of  $u$  is in  $N(b) - \{c\} = \{e\}$ . Thus  $euca = ebeu'cbeu'$ . Examination of the definition of  $f_2$  shows that  $ebe$  only appears in  $f_2^n(a)$  in the context  $aebedc$ . Therefore  $euca = ebedcu''cbedcu''$ . However,  $cbedc$  only appears in  $f_2^n(a)$  in the context  $adcbcdca$ . Thus  $euca = ebedcau'''adcbcdcau'''ad$ . Finally,  $ebedca$  only appears in  $f_2^n(a)$  in the context  $f_2(d)a$ . Thus our assumption that  $euca$  is in  $f_2^n(a)$  implies that  $aeuca = aebedcau'''adcbcdcau'''ad = f_2(d)f(u^*)f_2(b)f_2(u^*)ad$  appears in  $f_2^n(a)$ .

Since  $ad$  only appears in  $f_2^n(a)$  as a prefix of  $f_2(a)$  or  $f_2(b)$ , we see that  $f_2^{n-1}(a)$  contains a subword  $du^*bu^*a$  or  $du^*bu^*b$ . The first possibility is ruled out by the minimality of  $n$ , the second because  $f_2^{n-1}(a)$  is non-repetitive.

The foregoing argument can be recorded in an abbreviated form if we use the notation

$$x \dots y \dots z \Rightarrow xx^* \dots z^*yx^* \dots z^*z$$

to abbreviate ‘The existence of a subword of the form  $xuyuz$  in  $f_2^n(a)$  implies the existence of a subword of the form  $xx^*u^*z^*yx^*u^*z^*z$  in  $f_2^n(a)$ ’. The argument is then

$$\begin{aligned} e \dots c \dots &\Rightarrow ebe \dots cbe \dots \\ &\Rightarrow ebedc \dots cbedc \dots \\ &\Rightarrow ebedca \dots adcbcdca \dots ad \\ &\Rightarrow aebedca \dots adcbcdca \dots ad \\ &\Rightarrow f_2(d) \dots f_2(b) \dots f_2(a) \text{ or } f_2(d) \dots f_2(b) \dots f_2(b). \end{aligned}$$

For the sake of brevity the rest of our case arguments will be outlined in this way.

**Triple  $aed, aec$**

Here

$$\begin{aligned} a \dots e \dots &\Rightarrow adc \dots edc \dots \\ &\Rightarrow adcbe \dots edcbe \dots \\ &\Rightarrow adcbebc \dots aebedcbebc \dots aeb \\ &\Rightarrow f_2(a) \dots f_2(e) \dots f_2(c) \text{ or } f_2(a) \dots f_2(e) \dots f_2(d) \\ &\text{or } f_2(a) \dots f_2(e) \dots f_2(e). \end{aligned}$$

**Triple *ced***

Here

$$\begin{aligned}
c \dots e \dots d &\Rightarrow c \dots ae \dots ad \\
&\Rightarrow cb \dots aeb \dots ad \\
&\Rightarrow cbe \dots aebe \dots ad \\
&\Rightarrow cbed \dots aebed \dots ad \\
&\Rightarrow cbedca \dots aebedca \dots ad \\
&\Rightarrow adcbcdca \dots aebedca \dots ad \\
&\Rightarrow f_2(b) \dots f_2(d) \dots
\end{aligned}$$

**Triple *-ce***

Here

$$\begin{aligned}
\dots c \dots e &\Rightarrow \dots bc \dots be \\
&\Rightarrow \dots ebc \dots ebe \\
&\Rightarrow \dots aebc \dots aebe \\
&\Rightarrow \dots f_2(c) \dots f_2(d) \text{ or } \dots f_2(c) \dots f_2(e).
\end{aligned}$$

**Triple *ea-***

Here

$$\begin{aligned}
e \dots a \dots &\Rightarrow ed \dots ad \dots \\
&\Rightarrow edc \dots adc \dots \\
&\Rightarrow edcb \dots adcb \dots \\
&\Rightarrow edcbebc \dots adcbebc \dots \\
&\Rightarrow f_2(e) \dots f_2(a) \dots \\
&\Rightarrow f_2(e) \dots f_2(c) f_2(a) \dots f_2(c) \\
&\text{since } N^-(a) = \{c\}.
\end{aligned}$$

Thus  $eu^*au^*$  appears in  $f_2^{n-1}(a)$ , which is impossible.

**Triple *-de***

What could be the identity of the letter ‘-’? Triple *ade* is impossible, since  $N(a) \cap N(d) = \emptyset$ . Similarly we can rule out *cde*, *ede*. On the other hand, *dde* is repetitive. Thus we need only eliminate the possibility of *bde*:

$$\begin{aligned}
b \dots d \dots e &\Rightarrow b \dots ad \dots ae \\
&\Rightarrow bc \dots adc \dots ae \\
&\Rightarrow ebc \dots adc \dots ae \\
&\Rightarrow ebcbe \dots adcbe \dots ae.
\end{aligned}$$

However, then *ecbc* appears in  $f_2^n(a)$ , which is impossible.

**Triple *bd-***

What could be the identity of the letter ‘-’? By the previous case we cannot have *bde*. Triple *bda* is impossible, since  $N^-(d) \cap N^-(a) = \emptyset$ . Similarly we can rule

out  $bdc$ . On the other hand,  $bdd$  is repetitive. Thus we need only eliminate the possibility of  $bdb$ :

$$\begin{aligned}
 b \dots d \dots b &\Rightarrow bc \dots dc \dots b \\
 &\Rightarrow bc \dots edc \dots eb \\
 &\Rightarrow bc \dots bedc \dots beb \\
 &\Rightarrow bc \dots dcbedc \dots dcbeb \\
 &\Rightarrow bc \dots adcbecdc \dots adcbecb \\
 &\Rightarrow bca \dots adcbecdc \dots adcbecb \\
 &\Rightarrow f_2(z) \dots f_2(b) \dots f_2(a) \text{ or } f_2(z) \dots f_2(b) \dots f_2(b) \\
 &\quad \text{where } z \in \{a, c, e\} \\
 &\Rightarrow f_2(a) \dots f_2(b) \dots f_2(a) \\
 &\quad \text{since } N(c) \cap N(b) = N(e) \cap N(b) = \emptyset.
 \end{aligned}$$

It follows that a word  $aubua$  is found in  $f_2^{n-1}(a)$ . However,

$$\begin{aligned}
 a \dots a \dots a &\Rightarrow ae \dots cbe \dots ca \\
 &\Rightarrow ae \dots edcbe \dots edca \\
 &\Rightarrow aebc \dots aebedcbebc \dots aebedca \\
 &\Rightarrow f_2(c) \dots f_2(e) \dots f_2(d) \\
 &\quad \text{which is impossible.}
 \end{aligned}$$

Let  $\tilde{R} = \{f_2(a)v : v \in R^*\}$ . Define  $g_2 : \tilde{R} \rightarrow R^*$  by

$$g_2(adcbecbv) = abcv.$$

**Lemma 5.8.** *Let  $n \in \mathbb{N}$ . Then  $g_2(f_2^n(a))$  is non-repetitive and contains no  $xuyuz$  such that  $f_1(xyz)$  is repetitive.*

**Proof.** Write  $f_2^n(a) = adcbecbv$ . Then  $g_2(f_2^n(a)) = abcv$ . Since  $bcv$  is a subword of  $f_2^n(a)$ , word  $bcv$  is non-repetitive and contains no  $xuyuz$  such that  $f_1(xyz)$  is repetitive. Suppose  $abcv = \alpha\beta\beta\gamma, \beta \neq \varepsilon$ . Then  $\alpha = \varepsilon$ , since  $bcv$  is non-repetitive. If  $|\beta| = 1$ , then  $b = c$ , which is absurd. Suppose that  $|\beta| > 1$ . Then  $ab$  is a subword of  $\beta$ . Then  $ab$  appears in  $abcv$  twice, hence in  $bcv$ . This is a contradiction, since  $bcv$  is a subword of  $f_2^n(a)$ , which contains no  $ab$ .

Suppose that  $abcv$  contains a subword  $xuyuz$  such that  $f_1(xyz)$  is repetitive. In this case we can in fact write  $abcv = xuyuz\gamma$ , some  $\gamma$ , since  $xuyuz$  cannot be a subword of  $bcv$ . Thus  $x = a$  or ‘ $-$ ’ (in the notation of Table 3). Referring to Table 3, we see that the triple  $xyz$  is one of  $ac-$ ,  $aec$  or  $aed$ . We eliminate these possibilities in two steps:

**Triple  $ac-$**

Here

$$\begin{aligned}
 a \dots c \dots &\Rightarrow ab \dots cb \dots ca \\
 &\quad \text{since our word commences } abc \\
 &\Rightarrow abc \dots cbc \dots
 \end{aligned}$$

This is impossible, since  $cbc$  is never a subword of  $f_2^n(a)$ .

**Triple**  $aec, aed$

Here

$$\begin{aligned}
 a \dots e \dots &\Rightarrow abc \dots ebc \dots \\
 &\quad \text{since our word commences } abc \\
 &\Rightarrow abc \dots ebc \dots \\
 &\Rightarrow abcf_2(d) \dots ebcf_2(d)ebc \dots \\
 &\quad \text{since } f_2^n(a) \text{ must commence } f_2(ad). \\
 &\Rightarrow abcf_2(d) \dots f_2(a)f_2(d) \dots \text{ or } abcf_2(d) \dots f_2(e)f_2(d) \dots \\
 &\quad \text{since } N^-(d) = \{a, e\}.
 \end{aligned}$$

Replacing  $abc$  by  $f_2(a)$ , it follows that in  $f_2^n(a)$ , we have either  $f(a)f(u)f(a)f(u)$ , some  $u$ , or  $f(a)f(u)f(e)f(u)$ . The first of these implies a repetition in  $f_2^n(a)$ , which is impossible, while the second case was shown impossible in the section of the proof of Lemma 4.11, dealing with triples  $aed, aec$ . ■

**Proof of Proposition 3.6.** Let  $\hat{L}_{121,212}$  be the set of non-repetitive words over  $R$ , walkable on  $D$ , and never containing  $xuyuz$  for any triple  $xyz$  where  $f_1(xyz)$  is repetitive. Using the construction of the proof of Proposition 3.2 with  $g_2$  for  $g_1$ ,  $f_2$  for  $f_0$ , one shows that there are uncountably many words in  $\hat{L}_{121,212}$  with different ages. However, if  $u, v \in \hat{L}_{121,212}$  have different ages, then so do  $f_1(u), f_1(v) \in L_{121,212}$ . ■

## References

- [1] S. ARŒON: Démonstration de l'existence des suites asymétriques infinies, *Mat. Sb.*, (N.S.) **2**, 769–779; Zbl. **18**, 115.
- [2] KIRBY A. BAKER, GEORGE. F. McNULTY and WALTER TAYLOR: Growth problems for avoidable words, *Theoret. Comput. Sci.*, **69** (1989), No. 3, 319–345.
- [3] DWIGHT R. BEAN, ANDRZEJ EHRENFUCHT and GEORGE McNULTY: Avoidable Patterns in Strings of Symbols, *Pacific J. Math.*, **85** (1979), 261–294.
- [4] J. BRINKHUIS: Non-repetitive sequences on three symbols, *Quart. J. Math. Oxford*, Ser.(2) **34** (1983), 145–149.
- [5] T. C. BROWN: Is there a sequence on four symbols in which no two adjacent segments are permutations of each other?, *Amer. Math. Monthly*, **78** (1971), 886–888.
- [6] STANLEY BURRIS and EVELYN NELSON: Embedding the dual of in the lattice of equational classes of semigroups, *Algebra Universalis*, **1** (1971/72), 248–253.
- [7] JULIEN CASSAIGNE: Mots évitables et régularité dans les mots, Ph.D. thesis, L.I.T.P. (1994).

- [8] JAMES D. CURRIE: Non-repetitive walks in graphs and digraphs, Ph.D. thesis, University of Calgary (1987).
- [9] JAMES D. CURRIE: Which graphs allow infinite non-repetitive walks?, *Discrete Math.*, **87** (1991), 249–260.
- [10] JAMES D. CURRIE: Open problems in pattern avoidance, *Amer. Math. Monthly* **100** (1993), 790–793.
- [11] JAMES D. CURRIE: On the structure and extendibility of  $k$ -power free words, *Eur. J. Comb.*, to appear.
- [12] JAMES D. CURRIE: Subwords of non-repetitive words, *J. Combin. Theory, Ser. A.*, to appear.
- [13] F. M. DEKKING: Strongly non-repetitive sequences and progression-free sets, *J. Combin. Theory, Ser. A*, **27** (1979), 181–185.
- [14] FRANÇOISE DEJEAN: Sur un théorème de Thue, *J. Combin. Theory, Ser. A*, **13** (1972), 90–99.
- [15] ROGER C. ENTRINGER, DOUGLAS E. JACKSON and J. A. SCHATZ: On non-repetitive sequences, *J. Combin. Theory, Ser. A*, **16** (1974), 159–164.
- [16] EARL D. FIFE: Binary sequences which contain no BBb, *Trans. Amer. Math. Soc.*, **261** (1980), 115–136.
- [17] ANDRES DEL JUNCO: A transformation with simple spectrum which is not rank one, *Canad. J. Math.*, **29** (1977), 655–663.
- [18] JACQUES JUSTIN: Characterization of the repetitive commutative semigroups, *J. Algebra*, **21** (1972), 87–90.
- [19] JUHANI KARHUMÄKI: On cube-free  $\omega$ -words generated by binary morphisms, *Discrete Appl. Math.*, **5** (1983), 279–297.
- [20] VEIKKO KERÄNEN: Abelian squares are avoidable on 4 letters, *Automata, Languages and Programming: Lecture notes in Computer Sciences* **623** (1992) Springer-Verlag 4152.
- [21] M. LOTHAIRE: *Combinatorics on Words, Encyclopedia of Mathematics and its Applications* Vol. 17, Addison-Wesley, Reading Mass., 1983.
- [22] FILIPPO MIGNOSI: Infinite words with linear subword complexity, *Theoret. Comput. Sci.*, **65** (1989), 221–242.
- [23] MARSTON MORSE and GUSTAV A. HEDLUND: Symbolic dynamics I, II, *Amer. J. Math.*, **60** (1938), 815–866; **62** (1940) 142.
- [24] P. S. NOVIKOV and S. I. ADJAN: Infinite periodic groups I, II, III, *Izv. Akad. Nauk. SSSR, Ser. Mat.* **32** (1968), 212–244; 251–524; 709–731.
- [25] P. A. B. PLEASANTS: Non-repetitive sequences, *Proc. Cambridge Philos. Soc.*, **68** (1970), 267–274.
- [26] PETER ROTH: Every binary pattern of length six is avoidable on the two-letter alphabet, *Acta Inf.*, **29** (1992), 95–106.
- [27] ROBERT O. SHELTON and RAJ P. SONI: Chains and fixing blocks in irreducible binary sequences, *Discrete Math.*, **54** (1985), 93–99.

- [28] ROBERT O. SHELTON and RAJ P. SONI: Aperiodic words on three symbols I, II, III, *J. Reine Angew. Math.*, **321;327;330** (1981), 195–209; 1–11; 44–52;
- [29] AXEL THUE: Über unendliche Zeichenreihen, *Norske Vid. Selsk. Skr. I. Mat. Nat. Kl. Christiana*, (1912), 1–67.
- [30] WILLIAM T. TROTTER and PETER WINKLER: Arithmetic Progressions in Partially Ordered Sets, *Order*, **4** (1987), 37–42.
- [31] A. ZIMIN: Blocking sets of terms, *Mat. Sb.*, (N.S.) **119 (161)** (1982); *Math. USSR Sbornik* **47** (1984), 353–364.

James D. Currie

*Department of Mathematics  
and Statistics  
University of Winnipeg  
Winnipeg, Manitoba  
Canada R3B 2E9  
currie@uo.winnipeg.ca*